

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 31, 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, **Akash T. Pandey**, Compliance Officer of Finquest Financial Solutions Limited, aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture **One** number of events during the quarter ended 31st December, 2022 and has captured **One** number of the said required events.

I would like to report that no non-compliance(s) were observed in the previous quarter ended 30th September, 2022

FOR FINQUEST FINANCIAL SOLUTIONS PRIVATE LIMITED


Akash T. Pandey
Company Secretary
Mem. No: A61112



Date: 16th January, 2023
Place: Mumbai